### PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Woo-Seok YANG, et al.

Serial No.: New Application

Group Art Unit: Not Yet Assigned

Filed: June 28, 2000

Examiner: Not Yet Assigned

For:

SEMICONDUCTOR DEVICE HAVING A CAPACITOR AND METHOD FOR THE

MANUFACTURE THEREOF.

# INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

> Respectfully submitted, JACOBSON, PRICE, HOLMAN & STERN, PLLC

Registration No. 45,307

400 Seventh Street, N.W. Washington, DC 20004 (202) 638-6666 Atty. Dkt. No.: P65697US0

Date: June 28, 2000

YSH:ecl

# JACOBSON, PRICE, HOLMAN & STERN, PLLC 400 SEVENTH STREET, N.W. WASHINGTON, D.C. 20004-2201

### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: <u>P65697USO</u> SERIAL NO.: New Application					GROUP ART UNIT: Not Yet Assigned FILING DATE: June 28, 2000		
APPLICANT(S): Woo-Seok YANG, et al.					TODAY'S DATE: June 28, 2000		
	عديد خامد			*****	****	*****	
*****	****	******		ATENT DOCUMENTS	^^^^	^ ^ ~ ^ ^ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	
*EXAMINER		DOCUMENT		•	•	SUB- FILING DATE	
INITIAL		NUMBER	DATE	NAME	<u>CLASS</u>	CLASS (If Appropriate)	
	AA	5,396,095	03/07/95	Wolters et al.	<u>H01L</u>	27/02 03/04/94	
<u>.</u>	AB .	5,554,559	09/10/96	Wolters et al.	<u>H01L</u>	21/302 11/29/94	
·	AC	5,624,864	04/29/97	Arita et al.	<u>H01L</u>	21/8242 08/04/94	
	AD	5,716,875	02/10/98	Jones, Jr. et al	1. H01L	21/00 03/01/96	
	AE	5,728,603	03/17/98	Emesh et al.	<u>H01L</u>	21/02 12/09/96	
****	AF	<u>5,960,252</u> ******	09/28/99 ******	<u>Matsuki et al.</u>	<u>H01L</u>	<u>21/00</u> <u>04/30/97</u>	
			FOREIGN	PATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- TRANSLATION CLASS (YES) (NO)	
	AG	8-55850	02/27/96	JAPAN	H01L	21/316 X	
	AH	11017124	01/22/99	JAPAN	H01L	27/10 XI	
	AI	11087633	03/30/99	JAPAN	H01L	27/10 XI	
*****	****	*****	*****	******	*****	*****	
	OTHER	ART (Includ	ing Author,	Title, Date, Per	tinent P	ages, Etc.)	
	AJ	. <u> </u>		·			
examiner				DATE CONSIDERED			

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

#### Application/Control No. Applicant(s)/Patent Under Reexamination 09/605,758 YANG ET AL. Notice of References Cited Examiner Art Unit Page 1 of 1 Theresa T Doan 2814 U.S. PATENT DOCUMENTS Document Number Date Name Classification Country Code-Number-Kind Code MM-YYYY Α US-5438023A 08-1995 Argos, Jr. et al. 438 3 US-6144060A 11-2000 В Park et al. 257 310 С US-6287965B 09-2001 Kang et al. 438 648 D US-Ε US-F US-Ġ US-Н US-١ US-USj Κ US-US-L US-М FOREIGN PATENT DOCUMENTS Document Number Date Country Name Classification Country Code-Number-Kind Code MM-YYYY Ν 0 Р Q R s Ţ **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U W Х

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.